Academic Freedom History and Principles: Excerpt from the Smith Report, 7 October 2015
A. The Principles of Academic Freedom

1. The Sources of Academic Freedom

Within universities, academic freedom is a cornerstone of the culture, an “indispensable condition for the performance of the purposes of higher education.” Controversies surrounding claims to academic freedom have largely been internal matters within college and university communities. As a result, there are few traditional legal sources addressing the nature, content, and contours of academic freedom.

No statutes in force in British Columbia, including the statutes empowering the creation of universities in British Columbia, include the phrase “academic freedom” or explicitly define its content. To the extent that issues relating to academic freedom have come before Canadian courts, this has largely been in the context of administrative law: that is, through judicial review of internal university dispute-resolution mechanisms, where the focus is more on decision-making procedures than on the substantive content of the rights claimed.

(a) A Brief History of Academic Freedom in Canada

It is important to situate the modern understanding of the concept of academic freedom at UBC in the historical context in which it developed. The one major text which details the history and development of academic freedom in Canada, referred to by most Canadian scholars, is Michiel Horn’s Academic Freedom in Canada: A History. The book covers the nature, development, and understanding of “academic freedom” through a period up to the early 1960s. It also includes a Postscript on “Academic Freedom since 1965” detailing some of the important developments in the period from 1965 until the mid-1990s. The following summary is drawn largely from Horn’s text, unless otherwise indicated.

The concept of academic freedom in this country, though related to understandings of that concept in other parts of the world, has developed in a uniquely Canadian way. Horn notes that “[t]he modern Canadian concept of academic freedom has three main sources. One is

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2 A search of the complete collection of the statutes and regulations on the BC Laws website which are currently in force for the phrase “academic freedom” confirms this: <http://www.bclaws.ca/civix/content/complete/?xsl=/templates/browse.xsl#tabs1-html>. BC Laws is published by the Queen’s Printer for British Columbia in partnership with the Ministry of Justice and the Legislative Assembly, and according to their FAQs, “[o]n BC Laws you will find every public Act, and every regulation of general public interest, currently in force in the province of British Columbia, including new and recently amended laws that have yet to be published in official print formats. This current consolidation is an unofficial version of B.C. Statutes and Regulations.”

3 This is not to say that the definition or content of “academic freedom” at UBC is unaffected by the general laws of the province of British Columbia: see the section “Within the Law” below, beginning at page 18.

German in origin though adapted by Americans; the second is essentially British, and the third is largely North American.5 Thus, in Canada, “German and U.S. ideas upholding a research-based professionalism were combined with British traditions of academic free speech and with claims to faculty autonomy and self-government.”6

The German universities, beginning in the nineteenth century, emphasized *Lehrfreiheit*—the freedom to teach and publish—and the essential role of research in those activities. This view, brought to North America by faculty members who had studied in Germany, influenced the research-oriented universities that were forming in the U.S. in the later nineteenth century. Until that time, academic freedom had largely involved a basic claim that, for faculty, “their personal convictions should matter less than their intellectual achievements”.8 The German influence meant that this view expanded to an assertion that “professors should not be penalized if their research findings, honestly arrived at, challenged received wisdom and that the religious or philosophical views of professors were no concern of universities worthy of the name.”9 The latter aspect of academic freedom was a uniquely American articulation, as German professors “were under an implicit obligation to maintain political neutrality ... as ‘it was not generally assumed that *Lehrfreiheit* condoned or protected such [political] activities’”.10 In contrast, the right to free speech was protected within the U.S. Constitution, and some professors took advantage of this to express political and even partisan opinions.

In Great Britain, there existed an even stronger tradition of academic free speech, which was in turn linked to traditions of professorial self-government, most clearly evident in the universities at Oxford and Cambridge. The British tradition of academic free speech had a significant influence in Canada.

The earliest Canadian universities were not research institutions like their American or German counterparts, having instead two main purposes: “the training of clergy and the general education of the future leaders of society”.11 Even in the non-denominational Canadian universities, the focus was not on research but on professional and practical training in fields such as medicine, law, engineering, agriculture, forestry, and home economics. By 1914, there were six provincial universities (New Brunswick, Toronto, Manitoba, Saskatchewan, Alberta, and British Columbia) and three private non-sectarian institutions (Dalhousie, McGill, and Queen’s) in which *applied* research, particularly in agriculture and engineering, was part of the reason for their existence. However, heavy teaching loads and scarce resources kept most

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5 Horn, *ibid* at 7.
6 Horn, *ibid* at 7.
7 This relates to the academic freedom of faculty members; students enjoyed *Lernfreiheit*—the freedom to learn—“the essence of which was freedom from administrative control in the learning process, and which largely governs student life in Germany to this day”: Horn, *ibid* at 7.
8 Horn, *ibid* at 7.
9 Horn, *ibid* at 7.
10 Horn, *ibid* at 8.
11 Horn, *ibid* at 9.
professors from engaging in significant research of any kind. The British influence, more than the German research-based model, was therefore particularly important at the beginning of the twentieth century in Canada, with its links of academic freedom to professorial self-government and free speech.

The third influence on academic freedom in Canada resulted from the employment structure in the universities themselves: unlike at German universities, where professors were civil servants, North American universities employed professors through administrative hierarchies, headed by presidents, who themselves were appointed by lay boards composed of professional and business people. The lay boards took control of business matters, and were the final authority in the appointment and dismissal of faculty and staff. From early on, this created tensions about employment guidelines for faculty behavior and competence, and relatedly, the procedures that were to govern tenure and dismissal. Tenure was a familiar feature of Canadian universities even before the turn of the twentieth century, providing academics some measure of economic security. But because tenure was typically held at the “pleasure” of the governing boards and could be ended without cause, it was not a reliable safeguard of academic freedom.12

In this way, “[a]cademic freedom in Canada had to develop within a framework in which power was very unequally distributed. As employees dependent on lay boards, professors were ill-positioned to assert themselves, particularly when confronting their own universities.”13 This may not have been as troubling to academics at the time as it might seem now, according to Horn:

Challenging authority did not come easily to people whose social origins were mostly in the professional, business, and well-to-do farming classes and who probably ranked loyalty higher than independence of mind. ....

... Loyalty was due to the Crown, the Empire, one’s country, one’s church. But it had other objects as well ... [the Canadian imagination] developed in ‘isolated communities surrounded with a physical or psychological “frontier,”’ separated from one another and from their American and British cultural sources ... In the earliest maps of the country the only inhabited centres are forts.[14

(i) The Establishment of the CAUT

The Canadian Association of University Teachers (“CAUT”) was not founded until 1951, even though its American counterpart—the American Association of University Professors (“AAUP”)—was founded thirty-six years earlier in 1915.15 The CAUT, like the AAUP, is a national association of academic faculty. It is a federation of 87 faculty associations, representing faculty at 84 Canadian and 3 U.S. universities.16

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12 Horn, ibid at 12.
13 Horn, ibid at 38-39.
14 Horn, ibid at 39, quoting Northrop Frye.
15 It should be noted that faculty associations existed at individual Canadian universities long before 1951. For example, the UBC Faculty Association (“UBCFA”), the first faculty association in Canada, was formed at UBC in 1937.
organization devoted to advancing the interests of university faculty members across the country, and is particularly active in both defending and protecting academic freedom. A pivotal point in the history of the CAUT occurred in 1958, seven years after its foundation. Historian Harry S. Crowe’s dismissal from United College (now the University of Winnipeg)—“a defining event not only in the history of the Canadian professoriate but also in the history of tenure”—led to the CAUT’s first-ever committee of inquiry, and to the Crowe Report. Most importantly, “the case significantly affected the self-image of the professoriate and the idea of academic freedom in Canada.”

The events of the Crowe case infamously revolved around a purloined letter. Crowe, a permanent faculty member who was on leave and teaching at Queen’s University, wrote a personal letter to a colleague at United College, William Packer, on March 14, 1958, in which he criticized the College administration and environment, and raised concerns about a landslide Conservative victory in the upcoming federal election. Crowe’s letter never reached Packer, however, and instead mysteriously ended up on the desk of the Principal of the College, Wilfred Lockhart, along with an anonymous typed note. Exactly who stole and redirected the letter remains unknown. The board of regents ultimately dismissed Crowe ‘for cause’, “on the grounds that his actions in recent months are incompatible with his continued employment on the teaching staff at United College”, though initially the dismissal was with one year’s notice. Lockhart himself had written that the letter demonstrated Crowe’s lack of “sympathy with the avowed purposes of the College” and “no respect for or loyalty to the administration.”

The CAUT launched an investigation into the matter, and the Crowe Report was issued in the fall of 1958, finding that Crowe had been dismissed “for a private expression of opinion which he was given no opportunity to explain and which should not have been before the Board of Regents at all, or certainly not without a previous conference between Dr. Lockhart and Professor Crowe.” With respect to academic freedom, the Report then stated that

... the following basic postulates are not open to serious question: that academic freedom and security of tenure are neither ends in themselves nor the exactions of special privilege but merely conditions indispensable for the performance of the purposes of higher education; that the search for truth which is the central purpose of

1920, with the primary objective of securing higher salaries for faculty: Horn, ibid at 54. See also the website of the UBCFA at http://www.facultyassociation.ubc.ca/aboutus.php.
16 More about the CAUT and its activities can be found online at http://www.caut.ca/.
17 Horn, supra note 6 at 300.
18 The Crowe Report, supra note 3.
19 Horn, supra note 6 at 220.
20 Horn, ibid at 232.
21 Lockhart’s own words, in a letter he wrote to Crowe in late April of 1958, a few weeks after having received the purloined letter on his desk: Horn, ibid at 225.
22 The Crowe Report, supra note 3 at 38.
institutions of higher learning cannot prosper without freedom of inquiry and 
expression; and finally, that security of tenure is prerequisite to academic freedom.23

The Report also noted that the administration’s interpretation of the contents of the 
purloined letter was irrelevant to the protections afforded by academic freedom:

Academic freedom would be vulnerable indeed if its limits depended on the 
interpretation placed by a college administration on the remarks of a member of the 
academic staff. Academic people may say things which are not understood by the 
administration. Indeed, it is no part of the function of a professor to speak only in 
accents familiar to the administration. For a man to be discharged on the basis of an 
interpretation of his remarks made by the administration would create a situation 
 fraught with peril for academic freedom. To find a discharge made in the face of a 
remonstrance by the teacher that he has been misunderstood, and without being 
afforded an opportunity of explanation, makes the offence against academic freedom 
grave indeed. This is what happened in the instant case.24

The Report concluded that Crowe’s dismissal was “an unjust and unwarranted invasion 
of the security of academic tenure to which he was entitled”25 and further determined that the 
board of regents had mishandled the complaint against Crowe, violating natural justice, due 
process, and academic freedom. It recommended Crowe be reinstated, “with an assurance of 
academic freedom and tenure as elaborated in this report”.

The release of the Report did compel the board of regents to vote to reinstate Crowe. 
However, the board refused to reinstate three of his colleagues who had resigned in his 
support. Crowe, in protest of the way in which Principal Lockhart and the board of regents had 
handled the entire affair, declined to be reinstated, and in total sixteen people resigned from 
their positions in support of Crowe. Principal Lockhart tendered his resignation as well, but 
after the board of regents declined to accept it, he ultimately decided to stay.26

Around the same time the Crowe Report was released in the fall of 1958, discussions 
within the CAUT were underway towards drafting its first statement on academic freedom and 
tenure. At that time, the National Conference of Canadian Universities (NCCU) (which in 1965 
became the AUCC and is now Universities Canada) was also interested in offering its services to 
help resolve future disputes like the Crowe case, although cooperation and discussions 
between the organizations towards a joint statement and joint procedures for investigation 
ultimately did not pan out.

In 2011, the CAUT and the AUCC (now Universities Canada) each issued statements on 
academic freedom (referred to later in this Report, and attached as Appendix “C” and “D” 
respectively). The AUCC statement was issued with the aspiration that subscription to it would

23 The Crowe Report, ibid at 40.
24 The Crowe Report, ibid at 39.
25 The Crowe Report, ibid at 46.
26 Horn, supra note 6 at 242.
be a condition of membership in the organization; however, it does not appear that the aspiration has been achieved.

(ii) Tenure and Academic Freedom

As the Crowe case illustrates, security of tenure for faculty members has become a pillar of academic freedom. By the mid-1940s, the UBC Faculty Association (“UBCFA”—an association formed in 1920 “for the purpose of facilitating members’ social and employment relationship with the University”—was showing interest in academic freedom and tenure as well as in salaries and benefits.

In 1948, the UBCFA suggested that the Senate and the Board at UBC endorse the 1940 AAUP “Statement of Principles on Academic Freedom and Tenure” (the “1940 Statement”), towards having the phrase “appointment without term”, which was used in employment contracts at the university, defined to mean “tenure” as outlined in the 1940 Statement (which allowed dismissal only “for cause”). In 1949, the Board approved the 1940 Statement in principle, though the broad and discretionary statutory powers of the Board and of the President were maintained in every way. Through a process of discussion and agreement, the definition of tenure at UBC gradually fell more in line with the 1940 Statement, and clearer procedures governing the granting of promotion and tenure, including the involvement of senior faculty in an advisory role, were put in place.

Ultimately, however, the statutory instruments governing universities in British Columbia continued to provide that faculty served “during the pleasure” of the Board, and so “[t]he security enjoyed by tenured faculty in the three British Columbia universities ultimately depended on the extent to which their governing boards were willing to tie their own hands. In

27 Initially, the press release that accompanied the 2011 AUCC Statement stated that “[a]ffirmation of this statement by institutions is expected to become part of AUCC’s criteria for membership”: AUCC, “Media Release: Canada’s universities adopt new Statement on Academic Freedom” (25 October 2011), available online at: http://www.univcan.ca/media-room/news-and-commentary/canadas-universities-adopt-new-statement-on-academic-freedom/ (attached as Appendix “D”).
28 The current criteria for membership now state that institutional members shall satisfy a number of conditions, including that “[i]t’s approach to the protection of academic freedom respects the spirit of the Universities Canada Statement on Academic Freedom which was approved by the membership on October 25, 2011 and as may be amended by the membership from time to time” (Universities Canada, “Criteria to Become a Member”, available online: http://www.univcan.ca/about-us/member-universities/membership-eligibility/criteria-to-become-a-member/ (accessed 2 October 2015).
29 UBCFA, “About Us”, available online at http://www.facultyassociation.ubc.ca/aboutus.php. The website goes on to note that “[t]he Faculty Association is now a registered non-profit society incorporated under the Society Act in British Columbia. Since 2000, we have also been a voluntarily recognized union and the sole bargaining agent for our more than 3,200 members under the protection and rules of the Labour Relations Code of British Columbia.”
31 Horn, supra note 6 at 292.
32 This is still the case: see s. 28 of the University Act, RSBC 1996, c 468.
practice, though, tenured faculty members served during good behavior until the age of retirement.\textsuperscript{33}

Since 2000, the UBCFA has been the sole bargaining agent for tenure, tenure-track, and sessional faculty at UBC under the terms of the BC \textit{Labour Relations Code},\textsuperscript{34} and a Collective Agreement between the UBCFA and UBC governs the terms of employment of those faculty members.\textsuperscript{35} In 2006, language was added to the Preamble of the Collective Agreement regarding academic freedom; that language is still in place today.\textsuperscript{36} The specific terms and conditions of tenure at UBC, including faculty appointment, promotion, and dismissal are also governed by the Collective Agreement.

(iii) Lessons from History

This brief history shows that, in Canada, the specific content and protections offered by academic freedom—though greatly influenced both by current and historical understandings from around the world—are largely a matter of collegial co-governance and agreement among faculty members, their faculty associations, and the specific university at which they are employed.

(b) Academic Freedom and the Canadian Constitution

Under the terms of the \textit{Constitution Act, 1867}, the power to establish and regulate universities is given to the provincial legislatures.\textsuperscript{37} For example, UBC was established by provincial statute, and is continued and governed by the terms of BC’s \textit{University Act}.\textsuperscript{38}

The \textit{Canadian Charter of Rights and Freedoms}, which largely came into force in 1982 as part of the \textit{Constitution Act 1982},\textsuperscript{39} sets out fundamental rights and freedoms which cannot be unjustifiably infringed by the state, whether by law or government action.\textsuperscript{40} Included in these are the “fundamental freedoms” set out in s. 2 of the \textit{Charter}, which explicitly include the freedoms of thought, belief, opinion, expression, and association. The phrase “academic freedom” is not mentioned. None of the enumerated rights or freedoms is absolute: all rights and freedoms guaranteed by the \textit{Charter} are subject “to such reasonable limits prescribed by law as can be demonstrably justified in a free and democratic society.”\textsuperscript{41}

\begin{itemize}
\item \textsuperscript{33} Horn, \textit{supra} note 6 at 293.
\item \textsuperscript{34} \textit{Labour Relations Code}, RSBC 1996, c 244.
\item \textsuperscript{35} UBC/UBCFA Collective Agreement 2012-2014, available online at: \url{http://www.hr.ubc.ca/faculty-relations/collective-agreements/} (the “Collective Agreement”).
\item \textsuperscript{36} It is discussed below in “The Centrality of the Collective Agreement”, beginning at page 14.
\item \textsuperscript{37} \textit{The Constitution Act, 1867} (UK), 30 & 31 Victoria, c 3, s 93.
\item \textsuperscript{38} \textit{University Act}, RSBC 1996, c 468.
\item \textsuperscript{39} Except for the equality provisions in s. 15, which came into force three years later in 1985.
\item \textsuperscript{40} \textit{The Constitution Act, 1982}, being Schedule B to the \textit{Canada Act 1982} (UK), 1982, c 11 (the “Charter”).
\item \textsuperscript{41} The \textit{Charter}, \textit{ibid}, s. 1.
\end{itemize}
The courts have recognized that the Charter embodies a set of fundamental values. Further, there has been some recognition in the jurisprudence that academic freedom, as “the freedom to express and explore ideas to advance both knowledge and understanding”\(^{42}\) is akin to a Charter value, in that it “is a critically important value in a free and democratic society.”\(^{43}\)

The possible application of the Charter or Charter values to activities in universities is an interesting question that has not been definitively resolved by the courts. Neither need it be discussed further here: its determination is not necessary to the analysis, and none of the parties involved relied on Charter arguments.

(c) The University’s Governing Documents

The University’s governing documents include (1) UBC’s empowering statute, the University Act,\(^{44}\) (2) the provisions of the Academic Calendar as issued by the Senate, (3) the various contracts and agreements entered into by the University, including the Collective Agreement, and (4) the official policies and regulations issued by the Board of Governors.

(1) The University Act – The University Act delineates the function and powers of all universities in British Columbia, including UBC, and their constituent elements.\(^{45}\) Although the University Act delegates a significant amount of regulatory and decision-making power to UBC, it remains the ultimate legal authority in relation to all university matters and activities. Anything done contrary to its provisions is considered unlawful and without jurisdiction. Generally speaking, the University Act creates a bicameral governance structure at UBC, composed of a Vancouver and an Okanagan Senate, and a central Board of Governors. The Senate is the authoritative governance body on academic matters,\(^{46}\) while the Board of Governors is the ultimate authority on matters related to the management, administration and control of the property, revenue, business and affairs of the University.\(^{47}\) The President is the chief executive officer of UBC, and generally supervises and directs the academic work of the University.\(^{48}\) The Collective Agreement which sets out the terms of employment for faculty

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\(^{42}\) Maughan v UBC, 2008 BCSC 14 [“Maughan (BCSC)”] at para 2, affirmed 2009 BCCA 447 (“we are satisfied that the trial judge’s interpretation of the CRPA was correct and that he did not err in failing to apply Charter values as an aid to interpretation [the statute was not ambiguous, so regard did not need to be made to Charter values as a principle of statutory interpretation]. We also note that we are not persuaded that the application of Charter values would have led to a different conclusion as to the interpretation of the CRPA in any event” (at paras 56-57), leave to appeal refused [2009] SCCA No. 526.

\(^{43}\) Maughan (BCSC), ibid at para 2. See also R v Parent (2014), 308 CCC (3d) 493 (QC SC) at para 123 (“In other words, academic freedom and the importance of institutions of higher learning and academic research are key components of a democracy that values freedom of thought and expression.”); and Pridgen v University of Calgary, 2012 ABCA 139 at para 113 (“Academic freedom, as that idea has come to be understood, is an important value in Canadian society. LaForest J. in McKinney described it as the ‘free and fearless search for knowledge and the propagation of ideas’ (para 62), that is ‘essential to our continuance as a lively democracy’ (para 69)”).

\(^{44}\) The University Act, RSBC 1996, c 468.

\(^{45}\) Ibid.

\(^{46}\) Ibid, s. 37(1).

\(^{47}\) Ibid, s. 27(1).

\(^{48}\) Ibid, s. 59.
members at UBC is the result of employment negotiations between the UBC Faculty Association (the faculty union) and UBC (as represented by the President and Board of Governors).

(2) The University Calendar – As part of the statutory powers of academic governance vested in the Senate, the University is empowered to prepare and publish a university calendar. The current UBC Academic Calendar includes a policy on “Academic Freedom” consisting of two parts: (1) an introductory statement on academic freedom; and (2) a statement of freedom from harassment and discrimination. The introductory statement provides as follows:

The members of the University enjoy certain rights and privileges essential to the fulfilment of its primary functions: instruction and the pursuit of knowledge. Central among these rights is the freedom, within the law, to pursue what seems to them as fruitful avenues of inquiry, to teach and to learn unhindered by external or non-academic constraints, and to engage in full and unrestricted consideration of any opinion. This freedom extends not only to the regular members of the University, but to all who are invited to participate in its forum. Suppression of this freedom, whether by institutions of the state, the officers of the University, or the actions of private individuals, would prevent the University from carrying out its primary functions. All members of the University must recognize this fundamental principle and must share responsibility for supporting, safeguarding and preserving this central freedom. Behaviour that obstructs free and full discussion, not only of ideas that are safe and accepted, but of those which may be unpopular or even abhorrent, vitally threatens the integrity of the University’s forum. Such behaviour cannot be tolerated.

The policy on Academic Freedom then further emphasizes the “Freedom from Harassment and Discrimination”:

The University of British Columbia is committed to ensuring that all members of the University community - students, faculty, staff, and visitors - are able to study and work in an environment of tolerance and mutual respect that is free from harassment and discrimination.

(3) The Collective Agreement – The parties agree that the governing definition of “academic freedom” is that set out in the Collective Agreement between UBC and the UBCFA

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49 See s. 37(1)(n) of the University Act, ibid.
50 The Policy on “Academic Freedom” in the Vancouver Academic Calendar 2015/16 can be found online at http://www.calendar.ubc.ca/vancouver/index.cfm?tree=3,33,0,0. As noted on the website, “The Academic Calendar is a comprehensive guide to all programs, courses, services, and academic policies at the University of British Columbia. The Calendar also serves as a record of many University academic policies and procedures. The online Calendar is the official Calendar. Changes are incorporated online at intervals throughout the year”: http://www.calendar.ubc.ca/vancouver/.
which is currently in effect (the “Collective Agreement”). The full text of the Preamble in Part 1 (“Framework for Collective Bargaining”) of the Collective Agreement provides as follows:

THE UNIVERSITY OF BRITISH COLUMBIA and the FACULTY ASSOCIATION OF THE UNIVERSITY OF BRITISH COLUMBIA

DESIRING to promote fair and proper economic conditions and terms of appointment for Faculty Members, Librarians, and Program Directors at The University of British Columbia;

RECOGNIZING that the University is a community of scholars whose essential functions are the pursuit and dissemination of knowledge and understanding through research and teaching and that academic freedom is essential to carrying out these functions;

BEING DETERMINED not to interfere with that academic freedom;

CONFIRM THAT the members of the University enjoy certain rights and privileges essential to the fulfillment of its primary functions: instruction and the pursuit of knowledge. Central among these rights is the freedom, within the law, to pursue what seems to them as fruitful avenues of inquiry, to teach and to learn unhindered by external or non-academic constraints, to engage in full and unrestricted consideration of any opinion. This freedom extends not only to the regular members of the University but to all who are invited to participate in its forum. Suppression of this freedom, whether by institutions of the state, the officers of the University or the actions of private individuals, would prevent the University from carrying out its primary functions. All members of the University must recognize this fundamental principle and must share responsibility for supporting, safeguarding and preserving this central freedom. Behaviour which obstructs free and full discussion, not only of ideas which are safe and accepted but of those which may be unpopular or even abhorrent, vitally threatens the integrity of the University's forum. Such behaviour cannot be tolerated.]

(4) Official UBC Policies and Regulations – UBC has a number of policies, regulations, guidelines, and rules which govern activities within the University community and of University members. They include the policies that will be discussed in this Report, below, in “Description of the University Policies”.

(d) Other Sources

The understanding of academic freedom in Canada is informed by statements promulgated by two national organizations: the AUCC (Association of Universities and Colleges of Canada, now known as Universities Canada/Universités Canada) and the CAUT. They are attached to this Report as Appendices “C” and “D”.

54 Collective Agreement, ibid at 5.
55 AUCC “Statement on Academic Freedom”, supra note 29 (attached as Appendix “D”).
Finally, the United Nations Educational Scientific and Cultural Organization (UNESCO) has issued a statement on academic freedom adopted out of “concern regarding the vulnerability of the academic community to untoward political pressures which could undermine academic freedom,” and “[c]onsidering that the right to education, teaching and research can only be fully enjoyed in an atmosphere of academic freedom and autonomy for institutions of higher education and that the open communication of findings, hypotheses and opinions lies at the very heart of higher education and provides the strongest guarantee of the accuracy and objectivity of scholarship and research”.  

None of these statements is binding on UBC but they are informative about the sometimes competing understandings of academic freedom in Canadian universities and show the focus of some key debates.

2. The Centrality of the Collective Agreement in Defining the Academic Freedom of Faculty at UBC

The parties agree that the governing definition of “academic freedom” is that set out in the Collective Agreement between UBC and the University of British Columbia Faculty Association (“UBCFA”) which is currently in effect (the “Collective Agreement”).

Thus, according to the Preamble to the Collective Agreement, quoted in full above, “academic freedom” is defined as follows:

(a) Vested in “members of the University”, recognizing that “the University is a community of scholars”;  

(b) Essential to the integrity of the University’s forum and to the fulfillment of the two primary functions of the University, those being (1) instruction: the pursuit and dissemination of knowledge and understanding through teaching, and (2) the pursuit and dissemination of knowledge and understanding through research;  

(c) Consisting of “the freedom, within the law, to pursue what seems to [members of the University] as fruitful avenues of inquiry, to teach and to learn unhindered by external or non-academic constraints, [and] to engage in full and unrestricted consideration of any opinion”;


(d) As placing positive obligations on “[a]ll members of the University” to “recognize this fundamental principle” and to “share responsibility for supporting, safeguarding and preserving this central freedom”;

(e) As being protected from “suppressing behavior” by certain individuals or institutions, namely (1) institutions of the State; (2) officers of the University; or (3) the actions of private individuals;

(f) Where “suppressing behavior” includes (but is not necessarily limited to) “[b]ehaviour which obstructs free and full discussion, not only of ideas which are safe and accepted but of those which may be unpopular or even abhorrent”; and

(g) Is a freedom which is not “interfer[e]d with” by the provisions of the Collective Agreement.

The core of this statement on academic freedom can be located within the single sentence which defines its substantive content:

Central among these rights is the freedom, within the law, to pursue what seems to them as fruitful avenues of inquiry, to teach and to learn unhindered by external or non-academic constraints, to engage in full and unrestricted consideration of any opinion.59

3. Academic Rights and Obligations: The Elements of Academic Freedom as They Relate to Faculty at UBC

Some elements of academic freedom pertinent to UBC faculty members are as follows.

(a) Terminology in the Collective Agreement

As noted above, the parties (UBC and the Faculty Association) agree that the Preamble to the Collective Agreement is the main source of the definition and content of academic freedom at UBC, as it applies to the rights and responsibilities of faculty members.

(i) “Members” of the University

To whom does academic freedom apply? The Collective Agreement explicitly recognizes that the protections of academic freedom extend to all “members” of the university, including visitors: “This freedom extends not only to the regular members of the University but to all who are invited to participate in its forum.”

The term “member” or “member of the University”, as it appears in the Preamble, remains undefined in the Collective Agreement.60 That said, given that the Collective Agreement, *ibid*  at 5 [emphasis added].

60 However, the capitalized term “Member” is defined within the Collective Agreement, and “means member of the Faculty Association bargaining unit”: Article 1.01, *ibid*. 
Agreement also specifically recognizes that “the University is a community of scholars”, and given that the UBCFA, as bargaining agent for all faculty at UBC, negotiated and signed the Collective Agreement, there can be no question that individual “faculty” are included within the definition.\(^{61}\) Although they are not specifically referenced, and nor are they bound by the terms of the Collective Agreement, students and staff of UBC also seem to fall within this definition, as “members” who participate in the university forum on a regular basis. This is affirmed, in part, by the fact that this part of Preamble is also replicated word-for-word in UBC’s Academic Calendar, which sets out UBC’s more general academic governance regulations and policies.\(^{62}\)

(ii) “Dissemination” of Knowledge

The Collective Agreement identifies two “essential functions” of the University to which academic freedom is essential: the pursuit of knowledge and understanding, and the dissemination of knowledge and understanding.

Scholarly knowledge and understanding have generally been disseminated at Canadian universities through (ideally, peer-reviewed) publication in books, articles, journals, and treatises, as well as in lectures and speeches. With the internet and other technological advances, the university forum now extends well beyond the borders of the campus and the print on a page. New electronic media such as email, websites, blogs, and online learning management systems, as well as social media such as Facebook, Twitter, and LinkedIn, have emerged as important vehicles for communication in the academy, at vastly greater speeds, and reaching far wider audiences than were previously possible. These new media serve to advance the dissemination of scholarly research and opinion. It cannot seriously be argued that the means of publication (for example, electronic rather than in print) affects the extent to which a scholar is free to advance ideas or opinions.

UBC has embraced this reality, and the University has published online guidelines for the use of social media by all members of the UBC community. Those guidelines specifically recognize that social media can be used to advance the dissemination of knowledge through the exercise of academic freedom:

The use of social media is increasingly common for faculties, departments, and employees at UBC. These guidelines have been created to provide general guidance on the use of social media at UBC for faculty and staff.

Blogs, forums, and social networking sites are exciting channels where you can share ideas and connect with others who have the same interests. They provide an opportunity to advance UBC’s mandate to disseminate knowledge and build effective

\(^{61}\) The more specific term “Faculty Member” is also defined within the Collective Agreement in Article 1.01, and “means any person having an appointment from the Board of Governors of The University of British Columbia as Sessional Lecturer, Lecturer, Instructor, Instructor I, Instructor II, Senior Instructor, Professor of Teaching, Assistant Professor, Associate Professor, or Professor”.

\(^{62}\) See the section, above, on “The University’s Governing Documents”.

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relations with the community through dialogue and academic freedom. However, they also have the potential to affect professional and organizational reputations.63

The guidelines for “faculty use” of social media technologies acknowledge the ways that the use of social media can enhance the dissemination of knowledge by faculty members at UBC:

Just as speaking at conferences and to journalists can enhance your academic reputation, effective use of social media can help your influence and connect you with others working within your discipline. Social media can be a powerful way to share ideas, foster discussion, and enhance your teaching. These guidelines were created to assist you in creating an effective social media presence related to your area of expertise.64

The Faculty Use Guidelines further acknowledge that the guidelines are in no way intended to interfere with the exercise of faculty members’ academic freedom:

These guidelines are intended to encourage faculty to engage in social media, not to interfere with or restrict academic freedom in any way. As with all communications at UBC, your right to academic freedom is limited only by the university’s respectful environment and harassment and discrimination policies.65

(b) The Responsibilities of Academic Freedom at UBC

The protections and rights associated with academic freedom at UBC exist alongside concurrent duties and responsibilities. As at other Canadian universities, legitimate restrictions and limitations apply to the academic freedoms of individual members of the UBC community.66 As Horn puts it:

Legitimate restrictions on academic freedom do exist. Academic freedom does not imply that the campus must be host to any and all behaviour short of the actually illegal. It does not justify defamation or the counselling of insurrection, or doing as little work as possible. Nor does it confer the liberty to teach whatever catches ones fancy. Course

65 “Social Media Guidelines: Faculty Use”, ibid.
66 One of the longest standing debates around academic freedom includes how ideals of social equity and equal opportunity can appropriately be balanced with ideals of free expression and free inquiry. UBC has been at the forefront of the Canadian movement towards theorizing, discussing, and applying this kind of balanced approach to academic freedom at Canadian universities. For further reading, see the text Academic Freedom and the Inclusive University, ed by Sharon E. Kahn & Dennis Pavlich (Vancouver: UBC Press, 2000), which arose out of a conference of the same name hosted at UBC by the President and various UBC academic associations on 10-12 April 1997.
content may depend on the choices made by individual professors, but the subjects to be taught must be authorised by academic bodies.67

Academic freedom confers not only rights but also responsibilities. Included in these responsibilities are the obligations to obey the law, to create and maintain a respectful environment at UBC, to act in good faith, and to actively support and protect the exercise of academic freedom.

(i) “Within the Law”

The definition of academic freedom found in the Collective Agreement explicitly describes it as “the freedom, within the law, to…” do a number of things.68 While it likely goes without saying, speech or behavior which might otherwise be protected under the category of “academic freedom” is not protected to the extent that it is unlawful.

However, this limitation on academic freedom is not simply a matter of contract, and thus cannot be waived: even where it is not explicitly acknowledged, there is an implied duty on all members of the University to act lawfully in the exercise of their academic freedom. Simply put, if an act or omission would be unlawful outside the University community, it is similarly unlawful within the University. Academic freedom does not provide any special immunity from the general laws of the province or the country.

That said, courts have been cognizant of the existence, particular circumstances, and context of academic communities, including the essential roles that universities play in contributing to the larger Canadian society through the pursuit and dissemination of knowledge and ideas:

Excellence in our educational institutions, and specifically in our universities, is vital to our society and has important implications for all of us. Academic freedom and excellence is essential to our continuance as a lively democracy.69

Generally applicable laws, such as the law of negligence, take into account fundamental values such as academic freedom and freedom of expression:

The law must be restrained in intervening in the conduct of affairs in any circumstances where what are at issue are expressions and communications made in the context of an exploration of ideas, no matter how controversial or provocative those ideas may be. It is for that reason that the CRPA [Civil Rights Protection Act, RSBC 1996, c 49] requires evidence that an alleged tortfeasor not only engaged in communications which had the effect of an interference with a person’s civil rights by inciting religious based hatred or contempt of her or by inciting a sense of her inferiority, but also that the tortfeasor intended that result. It is also for that reason that, in the specific context of the

67 Horn, supra note 6 at 6.
68 Collective Agreement, supra note 37 at 5 [emphasis added].
69 McKinney v University of Guelph, [1990] 3 SCR 229 at para 69 (per LaForest J) ("McKinney").
academic exploration of ideas, the University Act prevents actions against the defendant UBC or its representatives unless there is evidence of bad faith.

Those evidentiary thresholds, while not depriving those subjected to harm of the right to a remedy for malicious or morally oblique behaviour, are nevertheless necessary to further academic freedom, which is vital to the function of a university and the community it serves, and freedom of expression, which is crucial to the operation of a free and democratic society.\(^{70}\)

This is also true of other areas of law, such as the common law of privilege.\(^{71}\)

(ii) Acting in Good Faith

In exercising their academic freedoms, faculty members must be given wide latitude “to pursue what seems to them as fruitful avenues of inquiry”.\(^{72}\) However, this free pursuit of knowledge is not completely unfettered. I believe that it remains subject to an overarching duty to act honestly and in good faith, in both the pursuit and dissemination of knowledge. Academic freedom is not an end in itself, but a means to the end of enabling the purposes of higher education to be fulfilled. Faculty members do not, within the protections of academic freedom, have the right to do or say anything they please, whenever or wherever they want, particularly when their motives for doing so serve to undermine, rather than advance, the pursuit and dissemination of knowledge and understanding. For example, it seems to me that the intentional fabrication of data would not be a protected exercise of academic freedom.

Broadly speaking, this overarching duty of honesty and good faith means that all university faculty members remain subject to a duty “inherent in their academic freedom ... to base their research and scholarship on an honest search for knowledge with due respect for evidence, impartial reasoning and honesty in reporting”.\(^{73}\) Impartiality in this context should not be confused with neutrality: faculty members remain free to adopt and proffer their own opinions and perspectives on all matters, including those relating to the standards governing their particular discipline. Indeed, it is essential to the “full and unrestricted consideration of any opinion”\(^{74}\) that faculty at UBC are active participants in the “full and free discussion, not only of ideas which are safe and accepted but of those which may be unpopular or even abhorrent”.\(^{75}\)

\(^{70}\) Maughan (BCSC), supra note 44 at paras 493-94 [emphasis added].

\(^{71}\) See, for example, R v Parent (2014), 308 CCC (3d) 493 (QC SC), finding a researcher-participant confidentiality privilege rooted in the common law, including the importance of academic freedom, which protects confidential, academic research work product from disclosure in criminal investigations; and Ogden v Simon Fraser University, 1998 CarswellBC 3260, [1998] BCJ No. 2288 (Prov Ct), upholding a researcher’s claim to payment of legal fees from the university in successfully defending a claim of researcher-participant privilege.

\(^{72}\) Collective Agreement, supra note 37 at 5.


\(^{74}\) Collective Agreement, supra note 37 at 5.

\(^{75}\) Collective Agreement, ibid.
The duties of honesty and good faith must be understood in the context of the professional standards within which a faculty (or other University) member operates, and the pursuit of excellence to which the University is committed. The Collective Agreement itself recognizes that in, for example, promotion and tenure reviews, “consideration of appropriate standards of excellence across and within faculties and discipline” is to be one of the key factors.\(^76\) To the extent that such professional standards facilitate and advance the “pursuit and dissemination of knowledge and understanding”, whether within a relevant academic discipline or to the University community and the public more broadly, these standards do not “limit” but instead are constitutive of academic freedom at UBC.\(^77\) The same is true of the overarching duty of honesty and good faith.

(iii) Respectful Environment

A key aspect of academic freedom is that it is intended to protect the “free and full discussion, not only of ideas which are safe and accepted but for those which may be unpopular or even abhorrent”.\(^78\) The censorship or silencing of ideas or voices is the antithesis of such “free and full discussion”. However, discussions and discourses are not one-way streets: they are only “free” and “full” to the extent that every person who wishes to participate in them is not threatened or silenced by other louder or more strident voices. The protections of academic freedom do not include an unlimited “right to offend”, nor do they include disrespectful actions or behaviours which have the effect of quieting or silencing—and thus limiting the participation of—some members of the University in the free and full discussion of all ideas.

Therefore, another part of what generally frames the understanding of “academic freedom” at UBC is the “Statement on Respectful Environment for Students, Faculty and Staff”. In the balancing of academic freedoms, rights, and obligations, its effect is to affirm that speech or behavior will only be protected as an exercise of academic freedom so long as it “respect[s] the dignity of individuals and make[s] it possible for everyone to live, work, and study in a positive and supportive environment, free from harmful behaviours such as bullying and harassment.”\(^79\) This implies that members of the University, while having certain freedoms and rights, also have certain obligations which accompany those freedoms and rights—most particularly, the duty to exercise those freedoms and rights “responsibly”:

\(^{76}\) Article 5.14(e)(iii) of the Collective Agreement, supra note 37 at 79.

\(^{77}\) See, for example, University of Alberta v Alberta (Human Rights Commission), [1992] 2 SCR 1103 at para 139: “Peer evaluation … is a fair and equitable way of assessing professors in good faith, on the basis of their teaching, research and publication records, rather than on their age. Unless abused, it poses no threat to academic freedom, and in fact enhances the value of tenure by ensuring that incompetent professors, young or old, are dismissed. These evaluations are difficult, as they should be, but no more difficult than the assessments that are made before an academic is first hired for a term, offered tenure, promoted, and awarded merit increases. In effect, evaluations of a professor’s competence, as opposed to the popularity of their specific views, are made throughout his or her career.”

\(^{78}\) Collective Agreement, supra note 37 at 5.

\(^{79}\) “UBC Statement on Respectful Environment for Students, Faculty and Staff”, Approved by UBC Executive, Revised May 2014 [“Statement on Respectful Environment”].
Therefore, freedom of expression and freedom of inquiry must be exercised responsibly, in ways that recognize and respect the dignity of others, having careful regard to the dynamics of different relationships within the university environment, such as between professor and student, or supervisor and employee. A respectful environment is a climate in which the human dignity of each individual is valued, and the diverse perspectives, ideas and experiences of all members of the community are able to flourish. 80

(c) Positive Obligations to Protect Academic Freedom

As recognized in the Academic Calendar and the Collective Agreement, all members of UBC, including senior administrators, have positive obligations with respect to the academic freedoms of others: “All members of the University must recognize this fundamental principle [of academic freedom] and must share responsibility for supporting, safeguarding and preserving this central freedom.”

In particular, all members of the University, including faculty members, are subject to an underlying positive obligation to support and protect academic freedom at UBC. This means both supporting individual members in the exercise of their academic freedoms and rights, and ensuring those protections are embedded in the larger governing structure. In this sense, “academic freedom is tied to professional responsibilities within the university and the academic community.” 81

(d) Commenting on University Governance

The definition of academic freedom found in the Collective Agreement is silent as to whether it includes the “right to criticize” either UBC or other societal or governmental institutions. Other articulations of academic freedom do explicitly address the issue. For example, the collective agreement currently in place at the University of Toronto provides that “academic freedom is the freedom to examine, question, teach, and learn, and it involves the right to investigate, speculate, and comment without reference to prescribed doctrine, as well as the right to criticize the University and society at large.” 82 That collective agreement goes on to note that academic freedom entitles faculty to “freedom from institutional censorship. Academic freedom does not require neutrality on the part of the individual nor does it preclude commitment on the part of the individual. Rather academic freedom makes such commitment possible.” 83

80 Statement on Respectful Environment at 2 [emphasis added].
81 Barry E Hogan & Lane D Trotter, “Academic Freedom in Canadian Higher Education: Universities, Colleges, and Institutes Were Not Created Equal” (2013) 43:2 CJHE 68 at 71 [“Hogan & Trotter”]
82 Article 5 of the consolidated “Memorandum of Agreement” between The Governing Council of the University of Toronto and The University of Toronto Faculty Association, initially ratified on the 28th of June, 1977 and including subsequent ratified amendments [“U of T Collective Agreement”] [emphasis added].
83 U of T Collective Agreement, ibid, Article 5(1)(c).
Similarly, the CAUT Policy on Academic Freedom states that “[a]cademic freedom includes … [the] freedom to express one’s opinion about the institution, its administration, and the system in which one works … Academic freedom always entails freedom from institutional censorship.”

By contrast, the “Statement on Academic Freedom” adopted by Universities Canada (formerly the Association of Universities and Colleges of Canada, or “AUCC”) makes no mention of an individual right or freedom to criticize either one’s university or any other institution. Instead, the Statement on Academic Freedom focuses more specifically on the individual freedoms to teach and conduct research, the principles of institutional autonomy and integrity, and the responsibilities attached to such freedoms, particularly on the part of the university leadership. Universities Canada states that “[i]t is a major responsibility of university governing bodies and senior officers to protect and promote academic freedom. This includes ensuring that funding and other partnerships do not interfere with the autonomy in deciding what is studied and how. …. Faculty also share with university leadership the responsibility of ensuring that pressures from funding and other types of partnerships do not unduly influence the intellectual work of the university.”

Although the UBC Collective Agreement definition of academic freedom does not refer to commentary on university governance, in my opinion the positive obligation to support and protect academic freedom at UBC means that such commentary falls within its ambit.

The fact that UBC, like many other universities across Canada, is largely a self-governing institution, supports this view. As stated by the Supreme Court of Canada in McKinney, this implies a significant measure of institutional autonomy—the institutional form of academic freedom—from the government:

The fact is that the universities are autonomous, they have boards of governors, or a governing council, the majority of whose members are elected or appointed independent of government. They pursue their own goals within the legislated limitations of their incorporation. With respect to the employment of professors, they are masters in their own houses.

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84 Canadian Association of University Teachers (“CAUT”). CAUT Policies: Academic Freedom, online: http://www.caut.ca/about-us/caut-policy/lists/caut-policy-statements/policy-statement-on-academic-freedom [emphasis added]. See also Appendix “C”.
86 Universities Canada, “Statement on Academic Freedom”, ibid. See also Appendix “D”.
87 McKinney, supra note 71. Note that UBC, unlike the Ontario universities to which the Court was referring in McKinney, does not have a majority of its Board of Governors elected or appointed independent of government. On the contrary, under the terms of s. 19(2) of the University Act, RSBC 1996, c 468, of the 21 members of the Board of Governors, a majority of 11 are appointed by the Lieutenant Governor in Council. It is still true, however, that the members of the Board of Governors are to act, not as directed by the government, but “in the best interests of the university” as set out in s. 19.1. The Supreme Court of Canada, in the companion case to McKinney
Institutional autonomy (self-governance), in conjunction with the recognition that “the University is a community of scholars”, 88 means that, broadly speaking, “[a]cademic freedom is premised on the expectation that the professoriate will self-regulate and participate in institutional governance.”89 Members from all parts of the University community, including faculty, students, alumni, and employees, have a voice in the formal governance structures at UBC.90 At the same time, faculty members’ significant involvement in almost all aspects of UBC governance implicitly involves “the balance between faculty decision-making rights and faculty responsibilities.”91

Participation in UBC governance on the part of faculty members is not limited to those individual members who actually sit in a representative capacity on the governance bodies or their committees. Other faculty members similarly participate in the governance of UBC when they engage in “free and full discussion” of university affairs. In my opinion, the freedom “to engage in full and unrestricted consideration of any opinion”92 extends to commentary on the extent to which the central functions of the University are being advanced or hindered by decisions or initiatives affecting the University. I note that this does not mean that faculty members who participate in governance, either in representative capacities or as a part of the senior administration, might not have additional responsibilities and obligations as a result of those other roles. Those role-specific responsibilities and obligations might serve to limit their freedom to comment on university affairs.93

(e) Reporting Potential Violations

Academic freedom would be a hollow freedom indeed if it did not include the freedom to raise, report, or protest a perceived interference with its protections. The parameters of academic freedom do not normally occupy the day-to-day thinking of most faculty members. Very few claim particular “expertise” in relation to academic freedom. Yet all members of the

which explicitly considered BC’s University Act found that this difference in governmental control did not affect the ultimate conclusion that UBC is not a government actor with respect to its retirement policies: Harrison v University of British Columbia, [1990] 3 SCR 451 at para 56 (per LaForest J).

88 Collective Agreement, supra note 37 at 5.
89 Hogan & Trotter, supra note 83 at 71.
90 See particularly the statutorily mandated composition of the UBC Board of Governors in s. 19(2) of the University Act, RSBC 1996, c 468; and of the Okanagan and Vancouver Senates of UBC in s. 35.1, the majority of which are made up of faculty members: ss. 35.1(2)(g) and 35.1(3)(g).
91 Hogan & Trotter, supra note 83 at 71.
92 Collective Agreement, supra note 37 at 5.
93 The case of Robert Buckingham at the University of Saskatchewan is a telling example: Professor Buckingham was a tenured professor as well as the head of the School of Public Health who was fired and escorted off-campus for negative remarks he made about cuts and restructuring plans at the university. The university later apologized for firing a tenured professor and reinstated him in his faculty position, but maintained that the firing from the senior administrative position was justified, stating that “Dr. Buckingham was removed from his executive director position for acting contrary to the expectations of his leadership role.” See, for example, CBC News, “Robert Buckingham offered tenured role at Saskatchewan university after firing” (May 15, 2014), available online at http://www.cbc.ca/news/canada/saskatoon/robert-buckingham-offered-tenured-role-at-saskatchewan-university-after-firing-1.2644085.
UBC community enjoy academic freedom, and rely on its implicit protections in carrying out their daily academic activities. In this way, academic freedom’s content is formed not only through “top-down” policies, agreements, and regulations, but also through attention to the daily realities of those who work in universities and thus occasionally bump up against its application and potential limits. In these moments, university members must be free to raise, discuss, and report on the nature and effect of activities they see as potential infringements of their own, or others’, academic freedom, regardless of the specific nature of their academic area of expertise. In my view, this follows from the existence of a positive obligation to protect and support academic freedom.

4. The Test for Determining Interference With or a Failure to Protect Academic Freedom

(a) Interference With Academic Freedom

In my opinion, the test for determining whether there has been an unjustified interference with a faculty member’s academic freedom at UBC should be both purposive and contextual. It should take into account the purposes advanced by the activities in question, the extent to which they relate to the essential functions of the University, the context in which the alleged infringement occurred, and the individual roles and circumstances of the parties involved, including the relationship dynamics among them. An intention to suppress academic freedom may be relevant, but suppression of or interference with academic freedom can also occur through unintended effects.

This means that a determination that there has been an interference with an individual's academic freedom is based not only on what the parties involved actually experienced, knew, or understood about each other and the situation, but on what a reasonable person in each of their circumstances would have experienced, known or understood, taking into account the full context of the situation.

(b) Failure to Protect Academic Freedom

Because of the positive obligation to support and protect academic freedom, not only acts, but also failures to act, may be problematic. Such failures can occur both on the part of individuals and on the part of the institution, at a systemic level. As with the analysis of an alleged interference, the context and the purposes for which academic freedom is protected are relevant. Similarly, a failure to protect academic freedom can occur intentionally or unintentionally.